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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/657,725

09/08/2003

Louis C. Smith

AVSI-0010 P1

8903

89065

7590

10/29/2010

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EXAMINER

BOUCHELLE, LAURA A

ART UNIT

PAPER NUMBER

3763

NOTIFICATION DATE

DELIVERY MODE

10/29/2010

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

US.Patents@inovio.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/657,725	<b>Applicant(s)</b> SMITH ET AL.	
	<b>Examiner</b> LAURA A. BOUCHELLE	<b>Art Unit</b> 3763	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 13 August 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3-15,18,19 and 27-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-15,18,19 and 27-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

***Response to Amendment***

***Claim Rejections - 35 USC § 103***

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
2. Claims 1, 3-15, 18, 19, 27-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dev et al (US 6451002) in view of Simon (US 2002/0010415) in further view of Jacobsen et al (US 4141359).
3. Dev discloses an electroporation device comprising a plurality of needle electrodes 32, a current waveform generator 115 for generating an electric pulse, a power source, a controller capable of managing the electroporation device to expose tissue adjacent to the needle electrodes to a substantially constant voltage (col. 4, lines 26-28). Dev discloses that the needles are inserted into tissue and the pulse of appropriate voltage is applied (col. 4, lines 27-29). The voltage is a constant voltage (col. 7, lines 62-65). Since the needles are stationary within the tissue, the resistance remains constant and therefore the constant voltage applied is also a constant current due to the linear relationship between current and voltage.
4. The device includes an input for inputting commands into the controller (col. 7, lines 40-44) and a display (col. 7, line 45). The needle electrodes form a circular array (see Fig. 2).
5. Dev discloses the method as claimed including the steps of programming an electrical pulse pattern into a controller, inserting a plurality of needle electrodes into the selected tissue, injecting a solution of macromolecules into the tissue by passing a syringe needle through the

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central channel (col. 4, lines 7-10, col. 8, lines 29-37), generating a pulse of electrical energy, and applying the pulse to the needle electrodes.

6. Claims 1, 27, 29 differ from Dev in calling for a waveform logger in communication with the controller. Simon teaches an electroporation device that includes a waveform logger that measures and records the voltage and current delivered through the electrodes so that the patient's response to the treatment can be compared to a baseline and monitored over time and the performance of the system and the pharmaceutical can be assessed (page 6, paragraph 0060). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to modify the device of Dev to include a waveform logger as taught by Simon so that the performance of the treatment can be assessed.

7. Claims 1, 27, 29 differ from Dev in view of Simon in calling for the controller to be capable of managing the device to expose tissue adjacent to the needle electrodes to a substantially constant current independent of any resistance change in the selected tissue during the electrical pulse. Jacobsen teaches a device for applying ionic drugs to tissue using electrodes wherein a controller maintains constant current through the epidermal tissue independent of any change in resistance of the tissue (abstract, col. 6, lines 22 - 69). The controller thereby increases the safety of the device by preventing shocking, burning and other adverse effects of high voltage or excess current (col. 6, lines 27-33). therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to modify the device of Dev so that the controller is capable of providing a constant current independent of any change in resistance of the tissue as taught by Jacobsen because a change in resistance of the tissue could lead to increased current or voltage in the tissue which can damage the tissue and so providing a constant current

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independent changes in the resistance of the tissue prevents the risk of burning, shocking and other adverse effects of an unintentional increase in current.

8. Claim 3 further differs from Dev in calling for an impedance tester. Jacobsen teaches that the device comprises an impedance tester to monitor the resistance of the tissue to ensure that the current remains constant (col. 6, lines 22-26).

9. Claim 5 further differs from Dev in calling for the input device to include a keypad. Simon teaches that the device includes a user input in the form of a keypad for convenience and ease of use (page 7, paragraph 0067). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to modify the device of Dev to include a keypad as taught by Simon to increase convenience and ease of use.

10. Claims 9, 10 differ from Dev in view of Simon in calling for an optical serial port or an infrared port. However, wireless communication is well known in the medical device art in general and is provided in order to make use of the device easier for the patient and medical technician. At the time of invention, it would have been obvious to incorporate an optical serial port or an IR port into the invention to Dev in view of Simon. These devices are well known in the art and the motivation for the incorporation would have been known generally by one skilled in the art to make use of the device easier for the patient and the medical technician and thereby enhancing the device in general.

11. Claims 11, 12 differ from Dev in calling for memory in communication with the controller. Simon teaches that the controller includes memory that allows the signals to be generated and controlled (page 14, paragraph 0139). Therefore, it would have been obvious to

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one of ordinary skill in the art at the time of invention to modify the device of Dev to include a memory as taught by Simon so that the controller can generate the signal.

12. Claim 13 calls for the power source to be a battery. Dev and Simon are silent as to the source of power for the device. However, it is well known in the medical device art to use a battery to power an electrical device because it allows mobility of the patient and the device and eliminates cumbersome electrical cords. It would have been obvious to one of ordinary skill in the art at the time of invention to modify the device of Dev in view of Simon to include a battery as the power source as is well known in the medical arts to allow for the device to be used in any location.

13. Claim 19 calls for the circular array to be about 1.0 cm in diameter. Dev discloses that the circular array of needles may have a diameter suitably selected to provide the desired diameter to position around a tumor or other tissue to be treated (col. 4, lines 59-64). However, Dev fails to disclose the specific claimed diameter. It would have been obvious to one of ordinary skill in the art at the time of invention to modify the device of Dev in view of Simon to have a diameter of about 1.0 cm because Dev clearly contemplates that the device is capable of having any diameter so that the diameter can be suitable to meet the needs of the area to be treated.

14. Claim 28 differs from Dev in calling for the step of recording data using a waveform logger in communication with the controller. Simon teaches an electroporation device that includes a waveform logger that measures and records the voltage and current delivered through the electrodes so that the patient's response to the treatment can be compared to a baseline and monitored over time and the performance of the system and the pharmaceutical can be assessed

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(page 6, paragraph 0060). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to modify the method of Dev to include recording data using a waveform logger as taught by Simon so that the performance of the treatment can be assessed.

***Response to Arguments***

15. Applicant's arguments with respect to claims 1, 3-15, 18, 19, 27-31 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

16. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LAURA A. BOUCHELLE whose telephone number is (571)272-2125. The examiner can normally be reached on Monday-Friday 8-4.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nicholas Lucchesi can be reached on 517-272-4977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Laura A Bouchelle  
Examiner  
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